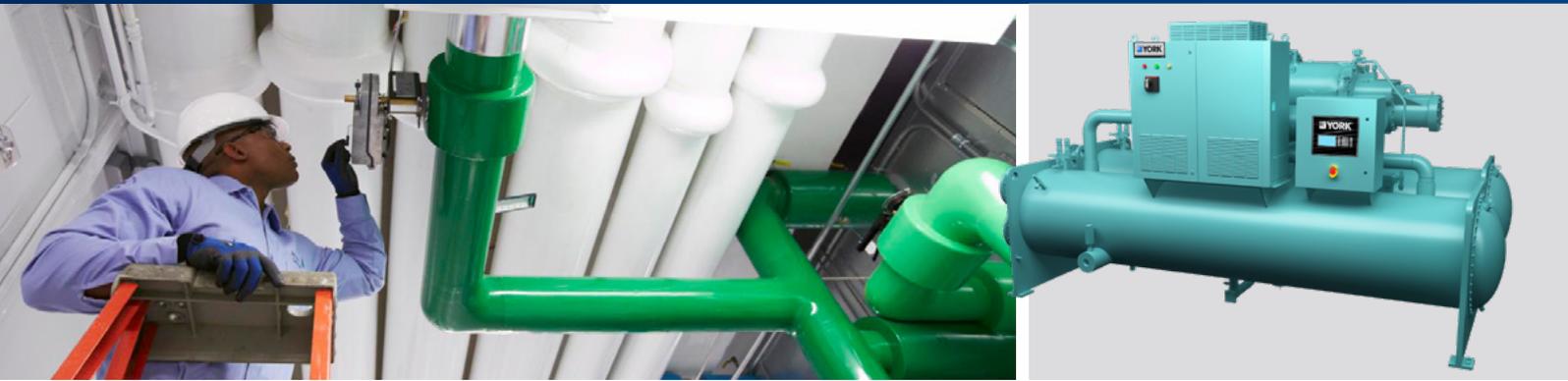


# Data Privacy Sheet

## Connected Equipment Portal (CEP)



### 1. Introduction to the Johnson Controls Global Privacy Office and Global Privacy Program

Johnson Controls has a Global Privacy Office and a Global Privacy Program, involved at the beginning and throughout the design and development of our processes, activities, products, services, and solutions, in accordance with internationally accepted principles of Privacy by Design.

The Johnson Controls Global Privacy Office is led by the Chief Privacy Officer, and supported by Global Privacy Counsel, Global Privacy Professionals, Global Privacy Champions, analysts, and support staff.

The Johnson Controls Privacy Program is designed with the most stringent global privacy and data protection laws in mind, including the General Data Protection Regulation (GDPR) of the European Union (EU), Brazil's Lei Geral de Proteção de Dados (LGPD), Singapore's Personal Data Protection Act (PDPA), and California's Consumer Privacy Act (CCPA).

For more information on the Johnson Controls Global Privacy Office and Global Privacy Program, please visit [www.johnsoncontrols.com/privacy](http://www.johnsoncontrols.com/privacy).

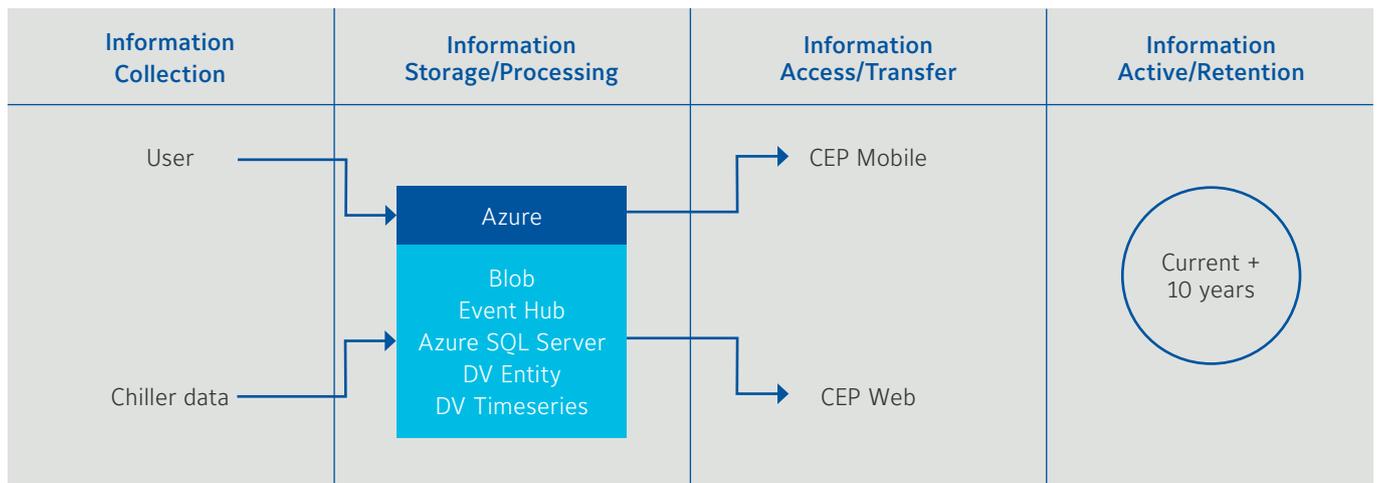
### 2. Overview of Connected Equipment Portal (CEP)

The Connected Equipment Portal (CEP) is a Software as a service (SaaS) solution that supports high volume chiller data aggregated from Johnson Controls or third party chillers. The solution provides intelligence and experience supports remote chiller diagnostics.

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## 3. Information flow map for Connected Equipment Portal (CEP)

Please see below the information flow map for Connected Equipment Portal (CEP) identifying where information is collected, stored and processed, and accessed and transferred. Please note the specifics of this flow depend on the components chosen by our customer and deployed.



## 4. Personal Data Processing details of Connected Equipment Portal (CEP)

See below details on each category of personal data processed by Connected Equipment Portal (CEP), types of personal data within each category, and the purpose of processing each type.

Personal Data Category	Types of Personal Data	Purpose of Processing
User and account information	<ul style="list-style-type: none"> <li>• First name</li> <li>• User login ID</li> <li>• User email ID</li> <li>• Business contact number</li> </ul>	<ul style="list-style-type: none"> <li>• Required to run an active subscription</li> <li>• Required for licensing</li> <li>• Required for user notifications</li> </ul>

## 5. Data retention and deletion

Johnson Controls has a global Records Management Program, which includes a Global Records Retention policy and procedures. The purpose of our Records Management Program is to detail the responsibilities and working instructions necessary for the use, maintenance, retention or destruction of data and to assign appropriate responsibilities to the right individuals.

When Johnson Controls processes personal data for our own purposes, the Johnson Controls Records Management Program applies to all records, on all media, and must be maintained in accordance with the Johnson Controls Records Retention Policy and Records Retention Schedule for the specific country and business in which the record has been stored.

The Records Management Program applies to all worldwide locations and legal entities controlled by Johnson Controls. Similarly, when Johnson Controls processes personal data on behalf of a customer, or when our products are operating on customer site, those offerings can be configured to meet customer data retention periods.

# Data Privacy Sheet

## 5. Data retention and deletion (continued)

See below the default retention periods applied to Connected Equipment Portal (CEP):

Data Category	Retention Period	Reason for Retention
User and account information <ul style="list-style-type: none"><li>• First name, middle name, last name</li><li>• User login ID</li><li>• User email ID</li><li>• Business contact number</li></ul>	For the period of active subscription	<ul style="list-style-type: none"><li>• Required to run an active subscription</li><li>• Required for licensing</li><li>• Required for user notifications</li></ul>

## 6. Sub-processors for Connected Equipment Portal (CEP)

Please see below the list of current sub-processors utilized to provide Connected Equipment Portal (CEP):

Sub-processor	Personal Data	Service Type	Location of Data Center	Security Assurance
Microsoft Azure Cloud	<ul style="list-style-type: none"><li>• First name, middle name, last name</li><li>• User login ID</li><li>• User email ID</li><li>• Business contact number</li></ul>	Third party cloud hosting	United States	<ul style="list-style-type: none"><li>• For information regarding Microsoft Azure see <a href="https://www.microsoft.com/en-ie/trust-">https://www.microsoft.com/en-ie/trust-</a></li><li>• Audit reports and <a href="https://docs.microsoft.com/en-GB/compliance/regulatory/offering-home">https://docs.microsoft.com/en-GB/compliance/regulatory/offering-home</a> for comprehensive compliance information</li></ul>

## 7. Cross border data transfers

Many countries and jurisdictions have laws governing the transfer of personal data. As a multinational organisation, Johnson Controls has substantive experience in dealing with cross border transfer issues and restrictions. When Johnson Controls processes personal data for our own purposes or on behalf of a customer, we utilise the following transfer mechanisms which can assist our customers:

Binding Corporate Rules (BCRs)	The Johnson Controls BCRs are designed to ensure an adequate level of protection of personal data no matter where in world it is processed by Johnson Controls. With respect to the European Union, the Johnson Controls BCRs have been specifically approved by the European Union, Data Protection Authorities (DPAs) for transfer of EU personal data globally within Johnson Controls.
Asia-Pacific Economic Cooperation Cross-Border Privacy Rules (APEC CBPR)	The CBPR is a government-backed privacy certification which demonstrates that Johnson Controls complies with internationally recognized data privacy protections and is the framework approved for the transfer of personal data by Johnson Controls between participating APEC member economies: United States of America, Mexico, Japan, Canada, Singapore, Republic of Korea, Australia, Chinese Taipei and Philippines.

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## 7. Cross border data transfers (continued)

EU Standard Contractual Clauses (SCCs)	Johnson Controls incorporates the EU's approved standard contractual clauses, also referred to as the "Model Contract," into the Johnson Controls Data Protection Agreement located at <a href="http://www.johnsoncontrols.com/dpa">www.johnsoncontrols.com/dpa</a> to afford the contractual protection under the SCCs to our customers.
EU-US Privacy Shield Framework and Swiss-US Privacy Shield Framework	Johnson Controls was and continues to be certified under the EU-US Privacy Shield Framework and the Swiss-US Privacy Shield Framework. Although the Privacy Shield Framework has been invalidated by the Court of Justice of the European Union (CJEU), Johnson Controls intends to continue to maintain its certification for the foreseeable future, until a replacement framework is created.

## 8. Privacy certifications

Johnson Controls has substantive experience with global privacy issues and has achieved the below global privacy certifications which demonstrate our commitment to creating solutions which respect global fair information practices and Privacy by Design.

Asia-Pacific Economic Cooperation Privacy Recognition for Processors (APEC PRP)	The PRP certification enables Johnson Controls to demonstrate to customers our accredited enterprise-wide Privacy Program, and to transfer data processed on behalf of our customers (including our cloud solutions) between the USA, Mexico, Japan, Canada, Singapore, Republic of Korea, Australia, Chinese Taipei and the Philippines. Please see the <a href="#">PRP Directory</a> for more information.
Asia-Pacific Economic Cooperation Cross-Border Privacy Rules (APEC CBPR)	The CBPR is a government-backed privacy certification which demonstrates that Johnson Controls complies with internationally recognized data privacy protections. Please see the <a href="#">CBPR Compliance Directory</a> and the Johnson Controls CBPR <a href="#">TRUSTe validation page</a> for more information.
TRUSTe Enterprise Seal	The Johnson Controls TRUSTe Privacy Certification Seal demonstrates our responsible data collection and processing practices consistent with regulatory expectations and external standards for privacy accountability. Please see the <a href="#">Johnson Controls TRUSTe validation page</a> for more information.

Please note that this document is for customer guidance purposes only and is not legal advice. Johnson Controls is not a law firm and does not provide legal advice. While Johnson Controls products and solutions are designed for use in compliance with applicable law, implementation and deployment of Johnson Controls products and solutions should be reviewed by appropriate customer advisors and stakeholders for such compliance.